

04-27-01



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Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5455P002

First Inventor Thomas W. Mossberg

Title OPTICAL PROCESSOR

Express Mail Label No. EL034435925US

Dc978 09/12/2001

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 23]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
- Oath or Declaration [Total Pages]
 - Newly executed (original or copy)
 - Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - Unsigned
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. § 3.73(b) Statement Power of Attorney
(when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
- Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: 06/199,790

Prior application Information: Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

or Correspondence address below Customer Number of Bar Code Label

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Clive D. Menezes	Registration No. (Attorney/Agent)	45,493
Signature		Date	04/26/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

355.00

Complete if Known

Application Number	
Filing Date	April 26, 2001
First Named Inventor	Thomas W. Mossberg
Examiner Name	
Group/Art Unit	
Attorney Docket No.	5455P002

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP** Charge Any Additional Fee(s) Required
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. Applicant claims small entity status.
See 37 CFR 1.27.2. Payment Enclosed: Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
401	710	201	355	Utility filing fee	355.00
406	320	206	160	Design filing fee	
407	490	207	245	Plant filing fee	
408	710	208	355	Reissue filing fee	
414	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	(\$) 355.00

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below
1	1	- 20 **	= 0 X 9.00 =
1	1	- 3 **	= 0 X 40.00 =

*or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	130	204 135 Multiple Dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
		SUBTOTAL (2) (\$)

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath			
127	50	227 25 Surcharge - late provisional filing fee or cover sheet.			
139	130	139 130 Non-English specification			
147	2,520	147 2,520 For filing a request for reexamination			
112	920*	112 920* Requesting publication of SIR prior to Examiner action			
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action			
115	110	215 55 Extension for response within first month			
116	390	216 195 Extension for response within second month			
117	890	217 445 Extension for response within third month			
118	1,390	218 695 Extension for response within fourth month			
128	1,890	228 945 Extension for response within fifth month			
119	310	219 155 Notice of Appeal			
120	310	220 155 Filing a brief in support of an appeal			
121	270	221 135 Request for oral hearing			
138	1,510	138 1,510 Petition to institute a public use proceeding			
140	110	240 55 Petition to revive - unavoidable			
141	1,240	241 620 Petition to revive - unintentional			
142	1,240	242 620 Utility issue fee (or reissue)			
143	440	243 220 Design issue fee			
144	600	244 300 Plant issue fee			
122	130	122 130 Petitions to the Commissioner			
123	130	123 130 Petitions related to provisional applications			
126	180	126 180 Submission of Information Disclosure Stmt			
581	40	581 40 Recording each patent assignment per property (times number of properties)			
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))			
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
179	710	279 355 Request for Continued Examination (RCE)			
169	900	169 900 Request for expedited examination of a design application			
		Other fee (specify)			
		Other fee (specify)			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)**

Complete (if applicable)

Name (Print/Type)	Clive D. Menezes	Registration No. (Attorney/Agent)	45,493	Telephone	(503) 684-6200
Signature				Date	04/26/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

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**REQUEST TO RESCIND PREVIOUS
NONPUBLICATION REQUEST
35 U.S.C. 122(b)(2)(B)(ii)**

Application Number	
Filing Date	04/26/2001
First Named Inventor	Thomas W. Mossberg
Title	OPTICAL PROCESSOR
Atty Docket Number	5455P002
Group Art Unit	
Examiner	

I hereby **rescind** the previous request that the above-identified application not be published under 35 U.S.C. 122(b).

4/26/2001
Date



Signature

Clive D. Menezes, Reg. No. 45,493
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b).